

Form for Reporting the Intention to Utilize the API User on the SET CONNECT System (Equity Market)

Date (Please fill the date)

To: Head Trading Operations Department
The Stock Exchange of Thailand

We (Please fill company name), wish to apply for the API User for connecting to trade in The Stock Exchange of Thailand through the SET CONNECT system and wish to commence the connection to the trading system (production) from (Please specific the effective date) onwards, with details as follows:

Change Summary

Subject to change	<input type="checkbox"/> Request new API User
	<input type="checkbox"/> Cancel existing API User
	<input type="checkbox"/> Change of ISV
	<input type="checkbox"/> Change of System type
	<input type="checkbox"/> Change of Trading protocol
	<input type="checkbox"/> Change of Member unit
	<input type="checkbox"/> Change of Throttle
	<input type="checkbox"/> Change of Trading User
	<input type="checkbox"/> Change of Drop copy channel
	<input type="checkbox"/> Change of cBlue channel

Detail of request new API User or cancel existing API User

(Please specify)	<ul style="list-style-type: none"> • Channel _- • Vendor name (Please fill vendor name) • Type of system _- • Trading Protocol (Choose Protocol) • Member Unit for receiving trading data _- • Number of Throttle _ Throttle(s) • Trading User <ul style="list-style-type: none"> <input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA, SETTRADE via Integrated Account) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker
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Detail of other changes

Existing detail	New detail
<ul style="list-style-type: none"> • Channel __- • Vendor name (Please fill vendor name) • Type of system __- • Trading Protocol (Choose Protocol) • Member Unit for receiving trading data __- • Number of Throttle __ Throttle(s) • Trading User <ul style="list-style-type: none"> <input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA, SETTRADE via Integrated Account) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker 	<ul style="list-style-type: none"> • Channel __- • Vendor name (Please fill vendor name) • Type of system __- • Trading Protocol (Choose Protocol) • Member Unit for receiving trading data __- • Number of Throttle __ Throttle(s) • Trading User <ul style="list-style-type: none"> <input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA, SETTRADE via Integrated Account) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker
<p>Drop Copy Connection</p> <ul style="list-style-type: none"> • Request __ user(s) for EMAPI Drop Copy • Request __ user(s) for FIX Drop Copy 	<p>Drop Copy Connection</p> <ul style="list-style-type: none"> • Request __ user(s) for EMAPI Drop Copy • Request __ user(s) for FIX Drop Copy
<p>Backup trading application (cBlue)</p> <ul style="list-style-type: none"> • The number of users wishing to use with backup trading application __ user(s) 	<p>Backup trading application (cBlue)</p> <ul style="list-style-type: none"> • The number of users wishing to use with backup trading application __ user(s)
<ul style="list-style-type: none"> • Other 	<ul style="list-style-type: none"> • Other

Detail of API User**1. Main Trading Connection**

Submit the order through Main Trading connection by using together Throttle as follows

Vendor name	(Please fill vendor name)
Type of system	<input type="checkbox"/> System of the member <input type="checkbox"/> System of the application service provider
Trading Protocol	<input type="checkbox"/> EMAPI (Proprietary Protocol) <input type="checkbox"/> Receiving Drop Copy data for this particular connection only <input type="checkbox"/> FIX Protocol 5.0
Member Unit for receiving trading data (if any)	Assign this connection under Member Unit _ _
Number of Throttle (1 Throttle: 25 Transactions per sec. (TPS); No charges for the <u>first two</u> Throttles)	_ _ throttle(s)
Trading User (No charges for the <u>first three</u> users; user for Program Trading must always be separated)	<input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA, SETTRADE via Integrated Account) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker

Remark Main Trading Connection used for counting the number of members in the event of the disruption to the members' trading system in accordance with the practices regarding the cessation of equity trading

2. Additional Connection (If any)

e.g. Multi-Channel, SETTRADE or other Application Service Provider which directly connect to the Trading System

2.1 1st Additional Connection

Vendor name	(Please fill vendor name)
Type of system	<input type="checkbox"/> System of the member <input type="checkbox"/> System of the application service provider
Trading Protocol	<input type="checkbox"/> EMAPI (Proprietary Protocol) <input type="checkbox"/> Receiving Drop Copy data for this particular connection only <input type="checkbox"/> FIX Protocol 5.0
Member Unit for receiving trading data (if any)	Assign this connection under Member Unit _ _
Number of Throttle (1 Throttle: 25 TPS; No charges for the <u>first</u> Throttle)	_ _ throttle(s)
Trading User (No charges for the <u>first</u> user; user for Program Trading must always be separated)	<input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker

2.2 2nd Additional Connection

Vendor name	(Please fill vendor name)
Type of system	<input type="checkbox"/> System of the member <input type="checkbox"/> System of the application service provider
Trading Protocol	<input type="checkbox"/> EMAPI (Proprietary Protocol) <input type="checkbox"/> Receiving Drop Copy data for this particular connection only <input type="checkbox"/> FIX Protocol 5.0
Member Unit for receiving trading data (if any)	Assign this connection under Member Unit _ _
Number of Throttle (1 Throttle: 25 TPS)	_ _ throttle(s)
Trading User (User for Program Trading must always be separated)	<input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker

2.3 3rd Additional Connection

Vendor name	(Please fill vendor name)
Type of system	<input type="checkbox"/> System of the member <input type="checkbox"/> System of the application service provider
Trading Protocol	<input type="checkbox"/> EMAPI (Proprietary Protocol) <input type="checkbox"/> Receiving Drop Copy data for this particular connection only <input type="checkbox"/> FIX Protocol 5.0
Member Unit for receiving trading data (if any)	Assign this connection under Member Unit <u> - </u>
Number of Throttle (1 Throttle: 25 TPS)	<u> - </u> throttle(s)
Trading User (User for Program Trading must always be separated)	<input type="checkbox"/> Trade Group (e.g. Normal order, Sub-broker, DMA) <input type="checkbox"/> Program Trading (include Program Trading via DMA) <input type="checkbox"/> Market Maker (include Market Maker with Program Trading) <input type="checkbox"/> Sub-Broker Program Trading <input type="checkbox"/> Sub-Broker Market Maker

3. Drop Copy Connection

- Request user(s) for EMAPI Drop Copy
 Request user(s) for FIX Drop Copy

Please specify the total number of user (including existing active users)

4. Backup trading application (cBlue)

The number of users wishing to use with backup trading application user(s)
(Maximum 10 users to be used as a backup trading system)

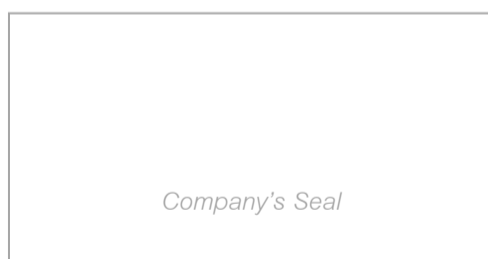
5. Other (if any)

If the member request for additional connection to the initial number thereof, the following fees will be charged:

1. New Trading connection
 - 1.1. The fee for additional connection is at the rate of Baht 125,000 per channel per month;
(New Trading connection have 1 Throttle and 1 Trading User)
2. Existing Trading connection
 - 2.1. The fee for additional Throttles is at the rate of Baht 125,000 per throttle per month;
 - 2.2. The fee for additional Users is at the rate of Baht 5,000 per user per month;
3. Drop Copy connection
 - 3.1. The fee for additional Drop Copy connection is at the rate of Baht 5,000 per user per month;

Note: Such fees are not yet included of value added tax (VAT).

The company hereby certifies that the information provided herein is correct and would like the Stock Exchange of Thailand to create the API User for the trading system as notified.



(Please fill the authorized signatory)

Title Please fill the title

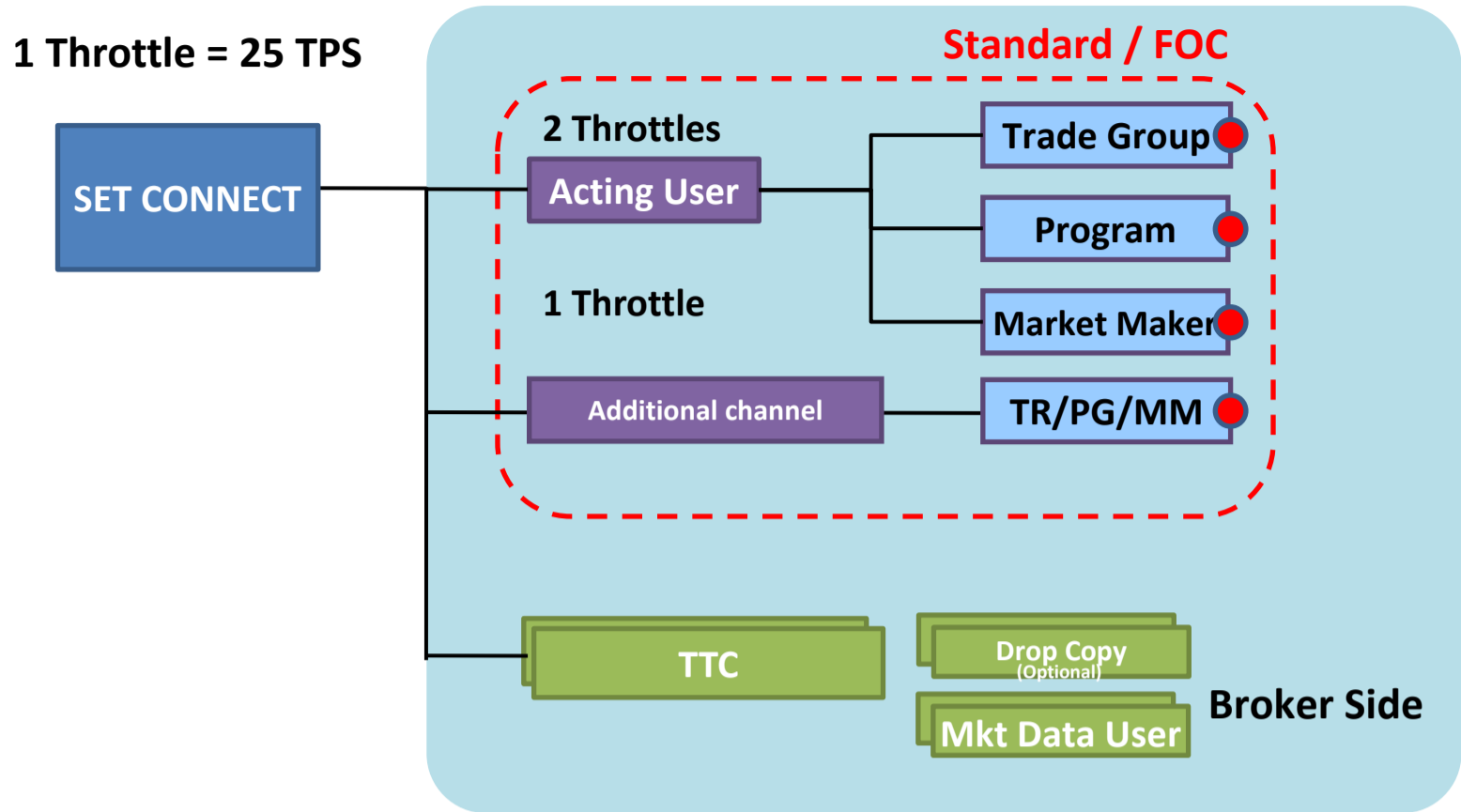
Authorized Person

Contact Person Please fill the detail

Tel. Please fill the detail

E-mail Please fill the detail

API User Model for SET CONNECT system (Equity Market)



The Stock Exchange of Thailand will create two initial trading connections for the member without charging as the detail follows:

1. Main Trading Connection

- a. The initial Throttle 2 Throttles
- b. The initial Trading User 3 Users

2. 1st Additional Connection

- a. The initial Throttle 1 Throttle
- b. The initial Trading User 1 User